000FORM TO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE CUSTOMER NO. 22,852 REV. 2/01T ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 01952.0064-00000 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371 ・日チ554508** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/BR2003/000165 November 13, 2003 November 13, 2002 TITLE OF INVENTION TIE-TYPE SECURITY SEAL APPLICANT(S) FOR DO/EO/US André DE LIMA CASTRO Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 図 This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. П This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. \boxtimes The US has been elected by the expiration of 19 months from the priority date (Article 31). \boxtimes 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau. b. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154 (d)(4)... 7. \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. ☒ Annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. \boxtimes Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A Substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). \boxtimes 20. Other items or information: \boxtimes a. Copy of cover page of International Publication No. WO 2004/044871 A1 b. Copy of Notification of Missing Requirements. \boxtimes c. Request for Substitution of Replacement Sheet

JC20 Rec'd PCT/PTO 11 MAY 2005

10/5345.08 FR 1.5)			INTERNATIONAL APPLICATION NO. PCT/BR2003/000165			ATTORNEY'S DOCKET NUMBER 01952.0064-00000		
21. The following fees are submitted:						Applicant Use	Office Use Only	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): \$300.00						\$		
National Stage Search Fee\$500.00						\$		
National Stage Examination Fee						\$		
National Suige Date	Illinauon	rec	***************************************	***************************************	\$400.00			
ENTER APPROPRIATE BASIC FEE AMOUNT = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence						\$1000.00		
Additional fee listing or comp additional 50 s								
Total Sheets	E:	Extra Sheets	Number of additional 50 or fraction Rate thereof (rounded up to a whole number					
12 - 100 =		0/50=			x 250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).						\$		
CLAIMS	NUM	BER FILED	NUMBER EXTRA	RA	TE			
Total Claims	10	- 20 =		x \$5	50.00	\$		
Independent Claims		-3 =		x \$20		\$ \$360.00		
MULTIPLE DEPEN	MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00							
<u> </u>	TOTAL OF THE ABOVE CALCULATIONS =							
☐ Applicant claim	is small er	ntity status. See 3	37 CFR 1.27. The fees in	ndicated above are	reduced by 1/2.	\$		
SUBTOTAL =						\$1360.00	T	
Processing fee of \$130.00 for furnishing the English translation later than						\$		
				TOTAL NAT	TIONAL FEE =	\$1360.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.						\$		
				TOTAL FEES	S ENCLOSED =	\$1360.00		
						Amount to be refunded:	\$	
						charged:	\$	
	k in the ar	mount of \$ 1360	0.00 to cover the	above fees is encl	losed.			
	Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an a must be filed and g	ppropriat	e time limit under restore the applic	er 37 CFR 1.494 or 1.495 cation to pending status.	has not been met,	a petition to revi	ve (37 CFR 1.13°	7 (a) or (b))	
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901 New York A Washington, D.C			;	SIGNATURE	70 - No 260	· ·		
			-	NAME/REGISTR	an/Reg. No. 25,96	31		
DATED: May 11								

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JC20 Rec'd PC TO 11 MAY 2005

PCT/BR2003/000165

André DE LIMA CASTRO

Attorney Docket No. 01952.0064-00000

ANNEXES TO THE

PRELIMINARY EXAMINATION REPORT

(ARTICLE 34 AMENDMENTS)

MAILSTOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REQUEST FOR SUBSTITUTION OF REPLACEMENT SHEET

Please substitute the attached replacement sheet 6 of the claims containing the Article 34 Amendments for sheets 6-7 of the claims in the enclosed as-filed PCT application. It is respectfully requested that the claims in the substitute sheet be examined during examination of the patent application. Claims 1-6 are currently pending.

By:

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: May 11, 2005

Ernest F. Chapman Reg. No. 25,961

EFC/FPD/rac